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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Phoenix, Arizona

**Applicant:** Ralph Johnson      **Group:** 2828  
**Serial No.:** 10/026,020      **Examiner:** Tuan M. Nguyen  
**Filed:** December 27, 2001      **Atty Docket No.:** V637-02670 US  
**For:** VERTICAL CAVITY SURFACE EMITTING LASER INCLUDING  
INDIUM AND ANTIMONY IN THE ACTIVE REGION

**INFORMATION DISCLOSURE STATEMENT**

Box Patent Application  
Assistant Commissioner of Patents  
POB 1450  
Alexandria, VA 22313-1450

Dear Sir:

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56 (37 C.F.R. § 1.97(h)), or that no other material information exists.

The filing of this Information Disclosure Statement is not to be construed as a representation that the references are prior art within the meaning of 35 U.S.C. §§ 102 or 103. Further, any explanation, if provided, is not to be construed as a representation that the references have been thoroughly reviewed. In particular, no representation as to the relevance of any portion of any reference is intended.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

2. Form PTO-1449, List of Prior Art Cited by Applicant, citing 81 U.S. patents and applications, 35 foreign patents and applications, and 59 publications.

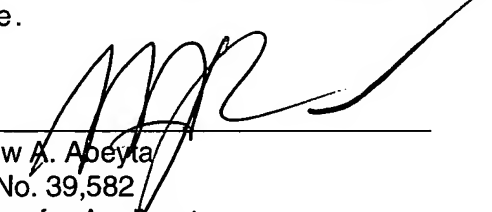
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3. The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in the attorney's file.

4. The Office is authorized to charge Applicant's Deposit Account 01-1125 \$180.00 or any fee that may be due under 37 C.F.R. § 1.17(p) for consideration of this IDS and for any other fee that may be due.

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**CERTIFICATE OF MAILING**

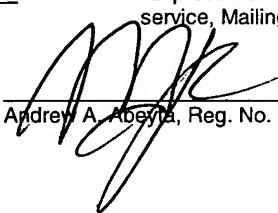
I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below addressed to the Box Patent Application, Assistant Commissioner of Patents, POB 1450, Alexandria, VA 22313-1450:

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